

Substitute for form 1449A/PTO

RELATED CASE STATEMENT

(Use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	10/591,485
Filing Date	September 1, 2006
First Named Inventor	Jacob Waugh et al.
Art Unit	TBA
Examiner Name	TBA
Attorney Docket Number	13720-105074US1

U.S. PATENT DOCUMENTS					
Examiner Initials *	Cite No.	Document Number	Filing Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
		Number - Kind Code ¹ (if known)			
	1	20070077259	03-03-2006	Dake, et al	
	2	20030229034	07-20-2001	Waugh, et al.	
	3	20050239705	03-03-2005	Dake et al.	
	4	20050198414	03-03-2005	Dake et al.	
	6	11/616,502	08-17-2007	Dake, et al	
	7	10/591,486	06-18-2007	Dake, et al.	
	8	10/591,732	09-01-2006	Dake, et al.	
	9	10/793,139	03-03-2004	Waugh et al.	
	10	11/955,076	12-12-2007	Waugh, et al.	
	11	11/954,885	12-12-2007	Waugh et al.	

Examiner
Signature

/Samuel Liu/

Date
Considered

10/14/2010

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Substitute for Form 1449/A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known		
				Application No.	10/591,485	
				Filing Date	09/01/2006	
				First Named Inventor	Waugh et al.	
				Group Art. Unit	Not Yet Assigned	
Examiner Name	Not Yet Assigned					
Attorney Docket Number	13720-105074US1					
Sheet	1	of	10			
U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number ²	Kind Code ³ (if known)			
	1	4,434,228		Swann	02/28/1984	
	2	4,816,568		Hamilton, Jr. et al.	03/28/1989	
	3	5,252,713		Morgan, Jr. et al.	10/12/1993	
	4	5,420,105		Gustavson et al.	05/30/1995	
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	7	5,629,020		Leone-Bay, et al.	05/13/1997	
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	10	5,747,641		Frankel, et al.	05/05/1998	
	11	5,756,468		Johnson, et al.	05/26/1998	
	12	5,766,605		Sanders, et al.	06/16/1998	
	13	5,795,587		Gao, et al.	08/18/1998	
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	17	6,280,937		Luo et al.	08/28/2001	
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	21	6,413,941		Garnett, et al.	07/02/2002	
	22	6,495,663		Rothbard, et al.	12/17/2002	
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	24	6,585,993		Donovan, et al.	07/01/2003	
	25	6,593,292		Rothbard, et al.	07/15/2003	
Examiner Signature	/Samuel Liu/				Date Considered	10/14/2010

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent document, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

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Examiner Name	Not Yet Assigned					
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		Number ²	Kind Code ³ (if known)			
	26	6,610,820		Bonny	08/26/2003	
	27	6,645,501		Dowdy	11/11/2003	
	28	6,669,951		Rothbard, et al.	12/30/2003	
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	43	20020086036		Walker	07/04/2002	
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	50	20030083256		Rothbard et al.	05/01/2003	
Examiner Signature	/Samuel Liu/				Date Considered	10/14/2010

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				Filing Date	09/01/2006	
				First Named Inventor	Waugh et al.	
				Group Art. Unit	Not Yet Assigned	
				Examiner Name	Not Yet Assigned	
Sheet	3	of	10	Attorney Docket Number		13720-105974US1

U.S. PATENT DOCUMENTS

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		Number ²	Kind Code ³ (if known)			
	51	20030104622		Robbins et al.	06/05/2003	
	52	20030113349		Coleman	06/19/2003	
	53	20030118598		Hunt	06/26/2003	
	54	20030138437		Hent	07/24/2003	
	55	20030157134		Aoki, et al.	08/21/2003	
	56	20030162719		Rothbard et al.	08/28/2003	
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	58	20030215395		Yu et al.	11/20/2003	
	59	20030219462		Steward, et al.	11/27/2003	
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	65	20040033241		Donovan	02/19/2004	
	66	20040037853		Borodic	02/26/2004	
	67	20040127556		Lu, et al.	07/01/2004	
	68	20040147443		Renault	07/29/2004	
	69	20040161405		Rothbard et al.	08/19/2004	
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	71	20040192754		Shapira, et al.	09/30/2004	
	72	20040220386		Steward, et al.	11/04/2004	
	73	2004/0247614		Dorr, et al.	12/09/2004	
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	75	2005/0074461		Donovan	04/07/2005	

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				Filing Date	09/01/2006	
				First Named Inventor	Waugh et al.	
				Group Art. Unit	Not Yet Assigned	
				Examiner Name	Not Yet Assigned	
Sheet	4	of	10	Attorney Docket Number		13720-105074/US1

U.S. PATENT DOCUMENTS

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		Number ²	Kind Code ³ (if known)			
	76	2005/0112146		Graham	05/26/2005	
	77	2005/0175636		Donovan	08/11/2005	
	78	2005/0232966		Hughes	10/20/2005	
	79	2005/0238667		Hunt	10/27/2005	
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	81	2006/0024331		Fernandez-Salazar, et al.	02/02/2006	
	82	2006/0040882		Chen	02/23/2006	

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Sheet	5	of	10	Attorney Docket Number		13720-105074US1		
FOREIGN PATENT DOCUMENTS								
Examiner Initials	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	1 ⁶
		Office ²	Number ³	Kind Code ⁵ (if known)				
	83	EP	0737074		Allergan	10/16/2006		
	84	EP	1005867		Allergan	06/07/2000		
	85	EP	1180524		Lipotec, SA	02/20/2002		
	86	EP	1185291		Biocicon Ges Fuer Biotechnolog	03/13/2002		
	87	EP	1421948		Allergan	05/26/2004		
	88	EP	1477183		Allergan	11/17/2004		
	89	WO	1992/07871		Affelix Biopharma	05/14/1992		
	90	WO	1994/04686		Biogen Inc.	03/03/1994		
	91	WO	1997/40854		Antivirals, Inc	11/06/1997		
	92	WO	2000/24419		Mayo Foundation	05/04/2000		
	93	WO	2000/34308		Univ Washington	06/15/2000		
	94	WO	200113957		Cellgate	03/01/2001		
	95	WO	200162297		Cellgate	08/30/2001		

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		Office ³	Number ³	Kind Code ⁵ (if known)				
	96	WO	2002/07773		Essentia Biosystems	01/31/2002		
	97	WO	2003/49772		Cellgate	06/19/2003		
	98	WO	2002/065986		Cellgate	08/29/2002		
	99	WO	2002/067917		Cellgate	09/06/2002		
	100	WO	2002/069930		Cellgate	09/12/2002		
	101	WO	2003/072049		Essentia Biosystems	09/04/2003		
	102	WO	2006/005910		Ipsen Ltd.	01/19/2006		

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	103	Author: Title: 1992 Sigma Catalog Publi: Vol/Iss: Pages: 1745 Date: 1992			
	104	Author: Title: Mammalian Expression Publi: 1998 Promega Catalog Vol/Iss: Pages: 262-265 Date: 1998			
/S.L./	105	Author: Aids Title: Use of HIV-1, to target and/or active antigen-presenting cells, and/or to deliver cargo molecules Publi: http://pharmalicensing.com/public/outlicensing/view/3766 Vol/Iss: Pages: Date:			
	106	Author: Ambache, N. Title: A further survey of the action of clostridium botulinum toxins upon different types of automatic nerve fibre Publi: J. Physiol. Vol/Iss: 113 Pages: 1-17 Date: 1951			
	107	Author: Bernmann I., et al. Title: Selective degeneration of sudomotor fibers in Ross Syndrome and successful treatment of compensatory hyperhidrosis with botulinum toxins Publi: Muscle & Nerve Vol/Iss: 21(12) Pages: 1790-1793 Date: 1998			
	108	Author: Blanes-Mira et al. Title: Identification of SNARE complex Modulators that Inhibit Exocytosis from an Alpha-Helix-Constrained Combinatorial Library Publi: Biochem. J. Vol/Iss: 375(1) Pages: 159-166 Date: October 1, 2003			
	109	Author: Blanes-Mira et al. Title: Small peptides after the N-Terminus domain of SNAP25 Inhibit SNARE complex assembly and regulated exocytosis Publi: J. of Neurochem. Vol/Iss: 88(1) Pages: 124-135 Date: January 2004			

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	110	Author: <u>Blanes-Mira et al.</u> Title: <u>A Synthetic Hexapeptide *Argireline) with Antiwrinkle Activity</u> Publ: <u>Int'l J. of Cosmetic Science</u> Vol/Iss: 24(5) Pages: 303-310 Date: 2002				
	111	Author: <u>Console et al.</u> Title: <u>Anticnnapedia and HIV Transactivator of Transcription (TAT) Promote Endocytosis of High Molecular Weight Cargo Upon Binding to Cell Surface Glycosaminoglycans</u> Publ: <u>J. Biol. Chem.</u> Vol/Iss: 278(17) Pages: 35109-35114 Date: 2003				
	112	Author: <u>Cristiano et al.</u> Title: <u>Hepatic gene therapy: Efficient gene delivery and expression in primary hepatocytes utilizing a conjugated adenovirus-DNA complex</u> Publ: <u>Proc. Nat'l Acad. Sci.</u> Vol/Iss: Pages: 11548-11552 Date: December 1993				
	113	Author: <u>Crosland et al.</u> Title: <u>Detection of Sparse Botulinum Toxin A Binding Sites Using Fluorescent Latex Microspheres</u> Publ: <u>J. of Biotechnology</u> Vol/Iss: 22(2) Pages: 113-115 Date: June 1999				
	114	Author: <u>Fisher et al.</u> Title: <u>Matrix Sialoprotein of Developing Bone</u> Publ: <u>J. Biol. Chem.</u> Vol/Iss: 258 Pages: 12723-12727 Date: October 1983				
	115	Author: <u>GenBank Accession No. M77788</u> Title: Publ: Vol/Iss: Pages: 2005 Date: June 21, 2005				
	116	Author: <u>Glogau, R.</u> Title: <u>Botulinum Toxin A neurotoxin for axillary hyperhidrosis-no sweat Botox</u> Publ: <u>Dermatol. Surg.</u> Vol/Iss: 24(8) Pages: 817-819 Date: 1998				

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	117	Author: Green, M. Title: Mutational Analysis of HIV-1 TAT Minimal Domain Peptides: Identification of trans-dominant mutants tat Suppress HIV-LTR-driven Gene Expression Publi: Cell Vol/Iss: 58 Pages: 215-223 Date: 1989			
	118	Author: Heckman, M. et al. Title: Botulinum toxin for axillary hyperhidrosis (excessive sweating) Publi: N. Engl. J. Med. Vol/Iss: 344(7) Pages: Date: 2001			
	119	Author: Lim, E. et al. Title: Topical botulinum toxin to treat hyperhidrosis? No sweat! Publi: Medical Hypotheses Vol/Iss: 67(1) Pages: 27-32 Date: 2006			
	120	Author: Nauman, M. et al. Title: Focal Hyperhidrosis - Effective treatment with Intracutaneous botulinum Toxin Publi: Arch Dermatol. Vol/Iss: 134 Pages: 301-304 Date: 1998			
	121	Author: Naver H Title: The treatment of focal hyperhidrosis with botulinum toxin Publi: Eur. J. Neurol. Vol/Iss: 4(2) Pages: S75-9 Date: 1997			
	122	Author: Odderson, J Title: Axillary hyperhidrosis: Treatment with Botulinum Toxin A Publi: Arch Phys Med Rehabil. Vol/Iss: 79(1) Pages: 350-2 Date: 1998			
	123	Author: Printout of Website Title: Botulinum cream Publi: http://www.chiuchina.com/ebcbs/4/pdf/82305.html Vol/Iss: Pages: Date: 2005			

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				First Named Inventor	Waugh et al.
				Group Art Unit	Not Yet Assigned
				Examiner Name	Not Yet Assigned
Sheet	10	of	10	Attorney Docket Number	13720-105074(US)
OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			16
	124	Author: Puls et al. Title: Gene transfer and expression of a non-viral polycation-based vector in CD4 cells Publ: Gene Therapy Vol/Iss: Pages: 1774-1778 Date: 10/01/1999			
	125	Author: Retrieved from internet Title: http://www.genlantis.com/catalog/product_line.cfm?product_family_key=13&product_line_key=54 Publ: Vol/Iss: Pages: Date: 09/02/2005			
	126	Author: Schantz et al. Title: Properties and use of Botulinum Toxin and Other Microbial Neurotoxins in Medicine Publ: Microbiological Reviews Vol/Iss: 56(1) Pages: 80-89 Date: March 1992			
	127	Author: Schwartz et al. Title: Peptide-Mediated Cellular Delivery Publ: Curr. Opin. Mol. Ther. Vol/Iss: 2(2) Pages: 162-167 Date: 2000			
	128	Author: Shalaby Title: Polymers for Augmenting Botulinum Vaccine Efficiency Publ: Vol/Iss: Pages: Date: 1998			
	129	Author: Urbanova et al. Title: Noncovalent interaction of peptides with porphyrins in aqueous solution: conformational study using vibrational CD spectroscopy Publ: Biopolymers (peptide science) Vol/Iss: 60 Pages: 307-316 Date: 2001			
	130	Author: Wu et al. Title: Publ: J. Biol. Chem. Vol/Iss: 10 Pages: 4429-4432 Date: 1987			

Examiner Signature	/Samuel Liu/	Date Considered	10/14/2010
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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent document, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /S.L./